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| **Name & position of reportee** | **Date of actual or likely breach** | **Date breach detected** | **How breach detected** | Details of breach  | **Assess frequency of similar previous breaches** | Assess Impact on ability to provide **Fin. Services** | **Assess extent indicates inadequate compliance** | **Assess actual / possible financial loss** | Date ASIC notified (significant breach) | **Action required and person responsible** |
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