

FOREIGN TAX STATUS DECLARATION FORM ENTITIES

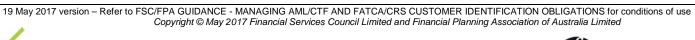


GUIDE TO COMPLETING THIS FORM

- o This form is required for any entity that is required to confirm:
 - a) Its FATCA status (FATCA = Foreign Account Tax Compliance Act),
 - b) Its CRS status (CRS = Common Reporting Standard), or
 - c) Whether it or any of its controlling persons are foreign tax residents, or
- o An entity can be a company, trust, partnership, association, registered co-operative or government body.
- Complete one form for each entity. Complete all applicable sections of this form in BLOCK LETTERS.
- o Tax information must be collected from an authorised representative of the entity
- o Contact your licensee if you have any queries.

SEC	TION 1	: ENTITY DETAILS								
1.1	General I	Information								
Full name										
ACN or other registration number										
Pogi	otorod od	dress or principal place of b	Lucinoss (DO Box is	NOT	ntable)					
Street Suburb		uress or principal place of t	Jusiliess (FO Box is	INOT acce	ріавіе)					
				State		Postcode		Country		
0=6										
SEC	TION 2	: TAX INFORMATION								
2.1	Tax Statu	S								
Tick	✓ one of	the Tax Status boxes below	(if the entity is a Fir	nancial In	stitution, pleas	se provide	all the request	ed informatio	on below)	
	A Financ	cial Institution (A custodial or d	lepository institution, ar	n investme	nt entity or a sp	ecified insul	ance company f	or FATCA / CR	PS purposes)	
	Provide t	he entity's Global Intermediar	y Identification Num	ber (GIIN), if applicable)				
	If the entity is a Financial Institution but does not have a GIIN, provide its FATCA status (select ✓ ONE of the following statuses)									
	☐ Deemed Compliant Financial Institution									
	Excepted Financial Institution									
☐ Exempt Beneficial Owner										
		n Reporting IGA Financial Ins the Entity is a Trustee-Docum	stitution ented Trust, provide the Trustee's GIIN)							
	☐ Nonparticipating Financial Institution									
☐ US Financial Institution										
	☐ Oth	ner (describe the FATCA statu	us in the box provide	ed)						
	PLEASE ANSWER THE QUESTION BELOW FOR ALL FINANCIAL INSTITUTIONS									
	Is the F	inancial Institution an Investm	ent Entity located in	a Non-P	articipating CI	RS Jurisdi	ction and mana	aged by anoth	ner Financial Institution?	
	Yes □	No 🗆								
If Yes, proceed to section 2.2 (Foreign Controlling Persons). If No, Please go to section 3 to complete the form.										
	CRS Pai	rticipating Jurisdictions are on the	OECD website at http:	<u>//www.oec</u>	d.org/tax/autom	atic-exchan	ge/crs-implemen	tation-and-assi	istance/crs-by-jurisdiction.	
	A Public Listed Company, Majority Owned Subsidiary of a Public Listed Company, Governmental Entity, International Organisation, Central Bank, an Australian Registered Charity or Deceased Estate									
	If the enti	ity type is listed above, please	e proceed to section	3 to com	plete the form					
	A Foreign Charity or an Active Non-Financial Entity (NFE) (Active NFEs include entities where, during the previous reporting period, less than 50% of their gross income was passive income (e.g. dividends, interests and royalties) and less than 50% of assets held produced passive income. For other types of Active NFEs, refer to Section VIII in the Annexure of the OECD 'Standard for Automatic Exchange of Financial Account Information' at www.oecd.org .)									
	If the enti	ity is a Foreign Charity or an A	Active NFE, please p	oroceed to	o section 2.3 (Country o	Tax Residenc	;y).		
	Other (Er	ntities that are not previously listed	d – Passive Non-Financ	cial Entities	s)					
	Please p	roceed to section 2.2 (Foreign	Controlling Person	s).						

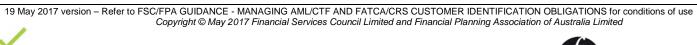
2.2 Foreign Controlling Persons (Individuals)										
Does the entity have any Controlling Persons* who are tax residents of countries other than Australia? Yes ☐ No ☐										
* A Controlling Person is any individual who directly or indirectly exercises control over the entity. For a company, this includes any beneficial owners controlling more than 25% of the shares in the company. For a Trust, this includes Trustees, Settlors or Beneficiaries. For a partnership this includes any partners.										
Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.										
If Yes, please provide the details of these individuals below and complete a separate Individual Identification Form for each Controlling Person (unless already provided as Beneficial Owner).										
Full given name(s) Surname		Role (such as Director or Senior Managing Official)								
If there are more Controlling Persons, provide details on a	separate sheet and tick this box. \Box .									
Proceed to section 2.3.										
2.3 Country of Tax Residency										
Is the entity a tax resident of a country other than Australia? Yes \Boxedown No \Boxedown										
If Yes, please provide the entities' country of tax residence and tax identification number (TIN) or equivalent below. If the entity is a tax resident of more than one other country, please list all relevant countries below.										
If No, please proceed to section 3 to complete the form										
A TIN is the number assigned by each country for the purposes Identification Number in the US. If a TIN is not provided, please										
1. Country	TIN	If no TIN, list reason A, B or C								
2. Country	TIN	If no TIN, list reason A, B or C								
3. Country	TIN	If no TIN, list reason A, B or C								
If there are more countries, provide details on a separate s	heet and tick this box. \square .									
Reason A The country of tax residency does not iss Reason B The entity has not been issued with a TIN										
Reason C The country of tax residency does not rec										
SECTION 3: DECLARATION										
IMPORTANT NOTE:										
→ Complete the Declaration section below (either	r an authorised representative of	the entity or their financial planner to sign)								
By completing and signing this declaration I certify that the information I have provided is true and correct.										
ENTITY DECLARATION (To be completed by an aut	horised representative of the enti	ity, such as a Director or Trustee)								
Representative Name										
Capacity (Company Director, Trustee, etc.)										
Signature		Date								
OR										





FINANCIAL PLANNER DECLARATION

reason to doubt its reasonableness.								
AFS Licensee Name		AFSL No.						
Representative/ Employee Name		Phone No.						
Signature		Date						



3/3

