

CPD Plan

InterPrac Guidelines

CPD year	1/7/2021 – 30/6/2022	Adviser Name	
Plan created date		Support - Email	cpd@sequoia.com.au
Plan amendment date *		Support - Phone	03 9209 9777

* Plan last amendment date only needs to be filled in if you amend your plan at any time during the year

An adviser's tailored Continuing Professional Development (CPD) Plan that identifies areas for improvement in competence, knowledge and skills and the professional development proposals for making those improvements.

CPD Plan will address activities of accrual of 40 CPD points for the period from 1 July 2021 to 30 June 2022.

The plan may be amended at any time during the CPD year.

1) MANDATORY TRAINING REQUIREMENTS

CPD Area	Description	Min hours required
Technical Competence	<i>The activity is designed to enhance participants' technical proficiency and ability to develop and provide advice strategies that are appropriate to the objectives, financial situations and needs of different classes of retail clients.</i>	10
Client Care and Practice	<i>The activity is designed to enhance participants' ability to act as a client-centric practitioner in advising retail clients.</i>	5
Regulatory Compliance and Consumer Protection	<i>The activity is designed to enhance participants' understanding of applicable legal obligations and how to comply with them.</i>	5
Professionalism and Ethics	<i>The activity is designed to enhance participants' capacity to act as an ethical professional.</i>	9
General	<i>The activity is designed to maintain and extend participants' professional capabilities, knowledge and skills, including keeping up to date with regulatory, technical and other relevant developments, but is not in an area referred to in another item of this table.</i>	No set minimum
Total CPD hours across all categories		40

2) TRAINING PLAN

Is this training plan

When considering the activities, it is important to know that there are maximum restrictions of hours allocated towards Formal education and Technical reading.

Strictly limited per CPD year to a maximum of

- 30 hours for formal relevant education
- 4 hours for professional or technical reading

Formal relevant education

- degree equivalent study to meet legislative requirements (such as bridging courses and approved degree studies) and
- any formal study towards other qualifications and designations relevant to the practice of the Relevant Provider.

Professional or technical reading

- reading of relevant magazines, academic readings or journal articles that is unstructured and does not contain an assessment.

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3) AREAS FOR IMPROVEMENT & DEVELOPMENT

CPD plan must identify areas for improvement and development, an extension of the provider's competence, knowledge and skills. It must describe the qualifying CPD activities adviser will complete during the CPD year to achieve those improvements.

(a) **Fill in at least 3 development activities in the table below.**

(b) These activities must fit into one of the 5 FASEA CPD areas described above.

(c) If an activity fits into multiple FASEA CPD Areas, choose the primary CPD area you would like to develop in.

(d) Knowledge area is optional sub-requirement.

For example: setting a target for 'insurance' will count towards the Technical Competence CPD area.

(e) Describe briefly knowledge / skills you wish to develop, refresh or extend with your continuous professional development plan.

For Example: participate in the compliance training provided by InterPrac to ensure I'm up to date on all requirements or Attend 3rd party training to deepen my knowledge of insurance

FASEA CPD Area	Knowledge area	CPD Activity	Description

Please send completed CPD Plan to cpd@sequoia.com.au for review and approval.